Art Unit: 3762

#### **DETAILED ACTION**

### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 8/20/09 has been entered.

# Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 3. Claims 13 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pieronne et al. (Patent No. 4,662,355) in view of Leschinsky et al. (Patent No. 5,439,448) and further in view of Rawles et al. (Patent No. 6,890,316).
- 4. Pieronne et al. disclose a system and method for pump-assisted myocardial revascularization without cardiopulmonary bypass comprising: surgically attaching a first cannula to the aorta (Fig. 1, element 14; Col. 2, lines 60-62); surgically attaching a second cannula the left atrium (Fig. 1, elements 1; Col. 2, lines 41-43); interconnecting the first and second cannulae with a first atrial-arterial shunt comprising a section of tubing having first and second ends and an interior (Fig. 1, element 3; Col. 2, lines 44-

Art Unit: 3762

46); air vents to purge air (Fig. 1, elements 4, 6, and 13; Col. 2, lines 47-48 & 66; Col. 3, lines 12-17); inserting the shunt tubing into a first peristaltic pump (Fig. 1, elements 7 & 8); and activating the pump to pump blood through the shunt and in parallel with the patient's heart pumping action (Col. 1, lines 6-11; Col. 7, lines 52-58). Regarding first and second cannula adapters, each with a vent for priming, see the Pieronne et al. Fig. 1 on the Office Action mailed 4/24/06 where examiner marked the structure considered to meet these claim requirements. Here, examiner considers that the Pieronne et al. "air purges" (i.e. vents for priming purposes) necessarily include a sealing means for selectively opening and closing the vents. Without such sealing means, the vents would create deleterious open holes in the blood circuit.

- 5. It is noted that the system of Pieronne necessarily was primed before use as all systems that are connected to a human to pump/exchange blood have to be primed in order to prevent air bubbles that can cause air embolisms within the body.
- 6. Pieronne et al. does not explicitly disclose that the section of tubing is translucent. Leschinsky et al. disclose a method and apparatus for interconnecting blood-carrying tubing, cannulae, and/or external pumps and provide a teaching that blood-carrying tubing is most preferably formed of a clear material (Col. 6, lines 5-7). It would have been obvious to one of ordinary skill in the art at the time of applicant's invention from the teaching by Leschinsky et al. to modify the tubing of Pieronne et al. to be translucent to provide the predictable results of enabling the clinician to view the interior of the tubing to detect bubbles or other contaminants (Col. 6, lines 5-9).

Application/Control Number: 10/768,728

Art Unit: 3762

7. In addition, Pieronne et al. does not explicitly disclose a cap removably attached to each vent. The system and method of Leschinsky et al. further includes vents for removing air from the a blood-carrying circuit, wherein the vents include removably attached caps for selectively opening and closing the vents during priming (Fig. 5, elements 28 & 32; Figs. 8, 9, & 10, elements 128 & 132; Col. 7, lines 39-55; Col. 9, lines 16-57). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention from the teachings by Leschinsky et al. to modify the priming vents of Pieronne et al. to include removably attached caps to provide the predictable results of an easy, well-known means for closing the vents during normal pumping and for opening them during priming to allow air bubbles to escape to the external environment.

Page 4

- 8. Regarding the limitation of Claim 13 that the "first peristaltic pump is one of a medical facility's existing peristaltic pumps from a cardiopulmonary bypass machine," the pump of Pieronne et al. is disclosed as the type conventionally used for extracorporeal circulation and thus Examiner considers such the disclosed pump to be a medical facility's existing pump (Col. 2, lines 50-54).
- 9. In addition, with respect to the limitation of claim 13 that the method steps perform pump-assisted myocardial revascularization without cardiopulmonary bypass, Pieronne is directed to pump regulation circuits, including pumps utilized in a left or right side assistance system (see, for example, col. 1, lines 9-43). Examiner considers such a left or right assistance system to be performing myocardial revascularization (see col. 1, lines 19-22) without cardiopulmonary bypass (the system is used assist the heart

Art Unit: 3762

rather than bypass the heart, and patients utilizing the assistance system can be weaned off the device as the myocardium recovers; see col. 1, lines 26-43).

- 10. Further, with respect to the limitation of claim 13, that the system is primed and the priming is done with the patients own blood to remove air, Pieronne et al. in view of Leschinsky discloses priming vents but does not state that the shunt is primed with the patients own blood. However, Rawles et al disloses using a patients blood to prime the tubes in order to prime the system without having to use a priming solution that increases the circulatory volume (Col. 9, lines 5-15). Therefore It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the system of Pieronne in view of Leschinsky with priming a system with the patients own blood in order to provide the predictable result of reducing the effects of using a priming solution that increases the circulatory volume.
- 11. Regarding Claim 14, from Pieronne et al.'s description of the vents (Fig. 1, elements 4 & 13) as "air purges" that the vents are necessarily opened at appropriate times to allow the passing flow of blood to force out (i.e. purge) any trapped air.
- 12. Claims 15, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over (Pieronne et al. in view of Leschinsky et al. in view of Rawles hereinafter "Modified Pieronne") and further in view of Aboul-Hosn et al. (Patent No. 6,935.344).
- 13. As related above with respect to claim 13, Modified Pieronne disclose a method for left side assistance including the use of a first atrial-arterial shunt and peristaltic pump. Modified Pieronne do not explicitly disclose that the pump is placed within one meter of the patient or that the tubing is no longer than two meters. Aboul-Hosn et al.

Application/Control Number: 10/768,728

Art Unit: 3762

disclose systems and methods for left and right side heart assistance including the use of shunt tubing and peristaltic pumps (Fig. 5; Col. 18, lines 41-54). Additionally, Aboul-Hosn et al. teach that it is important to bring the pump as close to the patient as possible and to minimize priming volume, the volume of the support system that is external to the patient (Col. 5, lines 2-16; Col. 17, lines 15-26). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention from the teachings by Aboul-Hosn et al. to modify the heart support system of Modified Pieronne to include placement of the pump within one meter of the patient and to utilize tubing that is no longer than two meters to provide the predictable results of well-known advantages of minimizing the distance and time that blood travels outside the body, such as preventing the occurrence of hemolysis and eliminating the necessity of cooling or warming the blood (Col. 17, lines 24-39).

Page 6

- 14. In addition, with respect to the limitation of claim 20 that the method steps perform pump-assisted myocardial revascularization without cardiopulmonary bypass, Pieronne is directed to pump regulation circuits, including pumps utilized in a left or right side assistance system (see, for example, col. 1, lines 9-43). Examiner considers such a left or right assistance system to be performing myocardial revascularization (see col. 1, lines 19-22) without cardiopulmonary bypass (the system is used assist the heart rather than bypass the heart, and patients utilizing the assistance system can be weaned off the device as the myocardium recovers; see col. 1, lines 26-43).
- 15. Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over (Pieronne et al. in view of Leschinsky et al. in view of Rawles hereinafter "Modified

Art Unit: 3762

Pieronne") as applied to claim 13 above, and further in view of Runge (Patent No. 5,743,845).

- As related above, Modified Pieronne disclose a method for left side assistance 16. including the use of a first atrial-arterial shunt and peristaltic pump. Modified Pieronne further disclose simultaneous right side assistance that utilizes right side cannulation sites and a second atrial-arterial shunt and peristaltic pump (Fig. 1, elements 4a, 7a, 8a, 13a, & 16-18; Col. 2, lines 66-68; Col. 3, lines 1-11). Modified Pieronne disclose that the third cannula is surgically attached to the pulmonary artery, but do not explicitly disclose that the fourth cannula is attached to the right atrium. Instead the fourth cannula is described as attached to "the outlet of the organ, such as the vena cava." Runge discloses a system and method for left and right side heart support that omits the need for an oxygenator of a conventional cardiopulmonary bypass system (Figs. 4 & 5; Col. 3, lines 24-27). Runge further provides a teaching that the cannulation sites most preferred by surgeons "will be from the right atrium to the pulmonary artery, and from the left atrium to the aorta" (Col. 3, lines 9-11). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention from the teachings by Runge to modify the heart support system of Modified Pieronne to include attaching the fourth cannula to the right atrium to provide the predictable results of a cannulation configuration most preferred by surgeons.
- 17. Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Pieronne etal. in view of Leschinsky et al. in view of Rawles and Runge as applied to Claim 16 above, and further in view of Aboul-Hosn et al.

Art Unit: 3762

18. Comments made above in rejection of Claims 3, 15, and 20 regarding tubing length and placement of the pump within one meter of the patient apply here as well.

# Response to Arguments

- 19. Applicant's arguments filed 8/20/09 have been fully considered but they are not persuasive. Applicant argues that Pieronne does not teach priming the shunt using the air purges and that the air purges are used to inject products such as heparine and protamine into the blood circuit. The Examiner respectfully disagrees. First, air purges by definition are used to remove air from closed systems. Second, Pieronne states that the products are injected through inputs (5, 5a, 12, 12a) which are located by air purges (4, 4a, 13, 13a) (Col. 2, line 60 to Col. 3, line 11). The previous line from Pieronne only relates to the physical location of the inputs and purges and fails to state or suggest that the purges are only used to inject products into the system.
- 20. The Applicant further argues that Rawles fails to teach priming the pump with the patients own blood and cites an embodiment of Rawles in support of this argument. In support of this the Applicant points out Rawles Column 9, lines 5-15, that state, "In the case where the perfusionist desires to use the patient's own blood to prime the system...." As pointed out by the Examiner in previous rejections and again here, the reference clearly states that the system is primed with the patient's own blood. The Examiner acknowledges that the specific embodiment first uses saline then blood to prime the system. But, Rawles still teaches a method that uses the patients blood to prime the system. It is noted that the applicant wrote the claim in an open ended format (comprising) and does not preclude the use of saline along with the patients own blood

to prime the system. Once primed with the patients own blood the system is activated. Further since the system has no air in it when the system is primed with the blood it fits the limitation of blood filling the shunt and removing any air.

- 21. The Applicant argues that claim 20 is allowable for the same reasons as claim
- 13. The examiner respectfully disagrees for the same reasons as noted above.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to REX HOLMES whose telephone number is (571)272-8827. The examiner can normally be reached on M-F 9:00 - 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Sykes can be reached on 571-272-4955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/R. H./ Examiner, Art Unit 3762 /George R Evanisko/ Primary Examiner, Art Unit 3762